

IMPACT & IMPLEMENTATION REPORT – SUMMARY SECTION
(For AEMO to complete and administer)

Issue Number	IN015/13		
Impacted Jurisdiction (s)	South Australia		
Proponent	Danny McGowan	Company	AEMO
Affected Gas Markets(s)	Retail	Consultation process (Ordinary or Expedited)	Ordinary
Industry Consultative forum(s) used	GRCF and RBPWG	Date Industry Consultative forum(s)consultation concluded	4 October 2013
Short Description of change(s)	Residual Retail Market Procedure (RMP) and Spec Pack changes (eg: new event code) for South Australia (SA) RoLR		
Procedure(s) or Documentation impacted	The following are impacted by the proposed changes: <ul style="list-style-type: none"> • SAWA Interface Control Document (ICD) • FRC B2B System Interface Definitions • Specification Pack User Guide 		
Summary of the change(s)	The following documentation changes have been identified by AEMO during the development phase of SA Retailer of Last Resort (RoLR) IT changes. These changes add clarity to the existing process which is documented under the SA Retail Market Procedures (RMP) and the changes align with how AEMO's systems will operate in production. In summary, the specific changes are the inclusion of new event codes to report processing errors and the inclusion of the delivery method and file name.		
I&IR Prepared By	Danny McGowan	Approved By	Oriel Mendoza
Date I&IR published	16 October 2013	Date Consultation under 135EE or 135EF concludes	14 November 2013
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IMPACT & IMPLEMENTATION REPORT – DETAILED REPORT SECTION

CRITICAL EXAMINATION OF PROPOSAL

<p>1. Description of change(s) and reasons for change(s)</p>	<p>The National Energy Retail Law (NERL) commenced on 1 February 2013 in South Australia. Part 6 of the NERL is the Retailer of Last Resort Scheme. The SA Regulations¹ contain a transitional that states that AEMO, the Network Operator (or Distributor) and the designated RoLR can use reasonable endeavours to meet their obligations under the NERL and RoLR Procedures. This transitional expires on 1 January 2014.</p> <p>Parts of the Specification Pack cover the RoLR process. During the development phase of the project, two minor changes have been identified that require changes to the ICD and the FRC B2B System Interface Definitions to clarify how the IT systems will operate in production.</p> <p>The changes to the ICD relate to the inclusion of new event codes to report processing errors pertaining to the Customer and Site Details transaction (T900).</p> <p>The changes to the FRC B2B System Interface Definitions relate to the inclusion of a delivery method and file name for the Customer and Site Details transaction (T970) which was not included in the current documentation.</p> <p>As a consequence of the above document changes, amendments will also be required to the Specification Pack Usage Guide to make reference to the new document versions for the ICD and FRC B2B System Interface Definitions.</p>
<p>2. Reference documentation</p> <ul style="list-style-type: none"> • Procedure Reference • GIP/Specification Pack Reference • Other Reference 	<p>ICD FRC B2B Interface Definitions Specification Pack Usage Guideline</p>
<p>3. The high level details of the change(s) to the existing Procedures</p> <p>This includes:</p> <ul style="list-style-type: none"> • A comparison of the existing operation of the Procedures to the proposed change to the operation of the Procedures • A marked up version 	<p>This RMP change proposes the inclusion of new event codes which are sent to the transaction sender (User) to advise them that information within the Customer and Site transaction (T900) has not been processed by the receiver (AEMO). The event code as the same event codes used in the Victorian RoLR process.</p> <p>This RMP change also proposes the inclusion of a delivery method and file name for the Customer and Site Details transaction (T970) sent to the designated RoLR which was not included in the current documentation.</p> <p>A new version 5.6 of the Specification Pack Usage Guidelines will also need to be released to include the new version 4.4 of the</p>

¹ s13 of the National Energy Retail Law (Local Provisions) Regulations 2013

of the Procedure change	<p>ICD document and a new version 3.6 of the FRC B2B System Interface Definitions.</p> <p>The proposed changes are set out in Attachment A.</p> <p>The effective dates for these changes are 1 January 2014.</p>
4. Explanation regarding the order of magnitude of the change (eg: material, non-material or non-substantial)	<p>The amendments are non-material as the functionality for the processing these event codes exists in other jurisdictions (Victoria).</p> <p>The amendments for the delivery method and file name relate to the T970 transaction and these changes non-material as these details were always part of the original design but wasn't included in the original documentation.</p>

ASSESSMENT OF LIKELY EFFECT OF PROPOSAL	
5. Overall Industry Cost / benefit (tangible / intangible / risk) analysis and/or cost estimates	<p>As prescribed in the "Approved Process", registered participants and interested stakeholders were asked to complete submissions. Submissions closed on 4 October 2013.</p> <p>Using the Gas Retail Consultative Forum and the Retail Business Process Working Group, participants and stakeholders were asked to complete and submit Stakeholder Assessment Forms (SAFs) for proposed event codes changes. Only AGL provided a SAF supporting the proposed changes.</p> <p>AGL's SAF response also indicated that they would incur a minor initial implementation costs. AGL's response also indicated that they did not expect the changes would result in any initial benefits to AGL.</p> <p>AEMO also receive e-mail responses from Lumo Energy and Origin Energy acknowledging their support for the changes.</p>
6. The likely implementation effect of the change(s) on stakeholders (e.g. Industry or end-users)	<p>The adding of these event codes will add clarity to the SA RoLR monthly customer data process. The codes provide the sender (Users) of the transaction information about why the receiver of the transactions (AEMO) has not processed certain rows within the transaction. The sender is then able to take corrective action and resend the incorrect information. Providing complete and accurate customer data enables the RoLR to establish vital customer contact information to bill customers should a RoLR event occur.</p> <p>Adding the file name to the Customer and Site Details transaction (T970) sent to the designated RoLR again adds clarity to the documentation and ensure the documentation and system process align.</p>
7. Testing requirements	<p>Testing requirements (if any) is covered under the previous SA RoLR consultations for IN026/11 and IN003/13.</p>
8. AEMO's preliminary assessment of the proposal's compliance with section 135EB:	<p>Rule 135EB(4)² of the NGR states that where AEMO is making changes in relation to section 144 of the NERL (RoLR Procedures) AEMO does not need to meet the preconditions for making Procedures under rule 135EB(1) to 135EB(3) of the NGR.</p>

² Rule 135EB(4) states: "This rule does not apply in relation to Procedures that AEMO makes, or proposes to make, under section 144 of the *NERL*."

<ul style="list-style-type: none"> - consistency with NGL and NGR, - regard to national gas objective - regard to any applicable access arrangements 	<p>However, AEMO's is satisfied that the proposed changes to the Interface Control Document and the FRC B2B Interface Definitions are consistent with the NGL, NERL, NGR and NERR.</p> <p>On the bases that the proposed changes relate to User and Market Operator transactions, AEMO is satisfied that the proposed change does not conflict with existing Access Arrangements.</p>
<p>9. Consultation Forum Outcomes (e.g. the conclusions made on the change(s) whether there was unanimous approval, any dissenting views)</p>	<p>As noted in section 5, AEMO received submissions from Lumo Energy, Origin Energy and AGL noting their support for the event code proposed changes.</p> <p>During the latter stages of the consultation, AGL identified a further documentation change relating to the file delivery method and file name for the Customer Site Details transaction (T970) sent to the designated RoLR. The current documentation didn't define the delivery method and file name. AEMO and AGL are the only impacted parties and both agreed that the change added clarity to the documentation and did not have any impacts, system or otherwise.</p>

RECOMMENDATION(S)	
<p>10. Should the proposed Procedures be made, (with or without amendments)?</p>	<p>AEMO recommends that the changes to the ICD and FRC Interface Definition be made as drafted in Attachment A.</p>
<p>11. If applicable, a proposed effective date for the proposed change(s) to take effect and justification for that timeline.</p>	<p>AEMO proposes an effective date on 1 January 2014, in line with expiration of the SA Regulations transitional expiry.</p>

ATTACHMENT A – DOCUMENTATION CHANGES (SEE SECTION 3)

Blue underlined text represents additions **Red and strikethrough text** represents deletions –
Marked up changes

Specification Pack

Interface Control Document – South Australia (Extract)

Appendix B Event codes

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SA Only - RoLR Event Codes in INT801 Report:

<u>Event</u>	<u>Description</u>	<u>Invoked by Transaction</u>
3401	MIRN unknown to GRMS	Customer and Site Details (T900)
3403	Checksum does not match MIRN	Customer and Site Details (T900)
3412	Data set incomplete	Customer and Site Details (T900)
3555	MIRN belongs to a different User	Customer and Site Details (T900)
3556	MIRN details missing	Customer and Site Details (T900)

FRC B2B System Interface Definitions (Extract)

Appendix F. RoLR Manual Process (SA Only)

2 Customer and Site Details (T970)

The T970 is a file that AEMO provide the designated RoLR(s) to manage the transfer and set up of customers in their systems. AEMO will provide this in the CSV format outlined below.

The method of file delivery is FTP from the GRMS.

The following naming convention is to be used.

SAGAS_ROLRSDT_OriginatorID_RecipientID_CCYYMMDDHHmmSS

Specification Pack Usage Guideline (Extract)

2. Overview of the Specification Pack

The following table provides an overview of the Specification Pack. The documents are provided in a directory structure as follows:

Main Directory	Sub-Directory	Documents	Version
Specification Pack	1. Usage Guidelines	Specification Pack Usage Guidelines	5. 5 <u>6</u>

	2. Interface Control Document (ICD)	Interface Control Document	4.3 4
	3. B2B System Interface Definitions	FRC B2B System Interface Definitions	3.5 6
	4. Transport Layer	FRC B2M-B2B HUB System Specifications FRC B2M-B2B HUB System Architecture	3.5 3.1
	5. CSV File Format	FRC CSV Data Format Specification	3.2
	6. Connectivity and Technical Certification	Connectivity Testing and Technical Certification	3.4
	7. Readiness Criteria	Readiness Criteria	2.1
	8. Service Order Specifications	B2B Service Order Specifications, Part 1 and Part 2,	2.2 3.1
	9. aseXML Schemas	The complete set of aseXML schemas and examples which participants have subscribed to for SA / WA Gas is available from www.aemo.com.au/asexml	SA – R29 WA – R13