

# WEM Procedure: Rule Participant Registration Processes

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## Version Release History

Version	Effective Date	Summary of Changes
1.0	1 October 2023	Market Procedure for Registration of Rule Participants as at Market Start
2.0	10 June 2008	IMO amended changes to the Procedure resulting from Procedure Change Proposal PC_2008_01
2.0	24 July 2009	IMO amended changes to the Procedure resulting from Procedure Change Proposal PC_2009_03
3.0	1 November 2013	IMO amended changes to the Procedure resulting from Procedure Change Proposal PC_2013_02
4.0	30 November 2015	Changes resulting from the transfer of functions from the IMO to AEMO
5.0	18 April 2017	Changes resulting from the transfer of System Management functions to AEMO
6.0	1 October 2023	Replacement WEM Procedure to amend the previously named "WEM Procedure: Rule Participant Registration and De-registration". WEM Procedure developed in accordance with clauses 2.28.21 and 2.31.25(a) of the WEM Rules

### IMPORTANT NOTICE – EXPLANATORY NOTES

#### Disclaimer

Explanatory notes included in this document as shaded in-line text are provided for explanatory purposes only to assist comprehension and readability. The information contained in these explanatory notes does not constitute legal or business advice and should not be relied on as a substitute for obtaining detailed advice about the *Electricity Industry Act 2004* (WA), WEM Rules, or any other applicable laws, procedures or policies. AEMO has made reasonable efforts to ensure the quality of the information, but cannot guarantee its accuracy or completeness.

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# 1. Introduction

## 1.1. Purpose and scope

- 1.1.1. This WEM Procedure: Rule Participant Registration Processes (Procedure) is made in accordance with AEMO's functions under clause 2.1A.2(h) of the Wholesale Electricity Market Rules (WEM Rules).
- 1.1.2. The *Electricity Industry Act 2004* (WA), the WEM Regulations and the WEM Rules prevail over this Procedure to the extent of any inconsistency.
- 1.1.3. In this Procedure, where obligations are conferred on a Rule Participant, that Rule Participant must comply with the relevant obligations in accordance with clause 2.9.7A, 2.9.7D or 2.9.8 of the WEM Rules, as applicable.
- 1.1.4. The purpose of this Procedure is to document:
- the criteria AEMO will use to determine whether or not to exempt persons from Rule Participant registration requirements in sections 2.28 and 2.30B, which must include assessment criteria for AEMO to ensure that granting an exemption from the requirement to register does not adversely affect Power System Security or Power System Reliability **[clause 2.28.21(a)]**;
  - the processes to be followed by a person in applying for an exemption in respect of Rule Participant registration under sections 2.28 and 2.30B **[clause 2.28.21(b)]**;
  - the processes to be followed and criteria to be applied by AEMO in assessing, determining or revoking an exemption in respect of Rule Participant registration under sections 2.28 and 2.30B **[clause 2.28.21(c)]**; and
  - the processes that AEMO and other Rule Participants, as applicable, must follow regarding Rule Participant registration **[clause 2.31.25(a)]**.
- 1.1.5. Appendix A of this Procedure outlines the head of power clauses that this Procedure is made under, as well as other obligations in the WEM Rules covered by this Procedure.

## 1.2. Definitions

- 1.2.1. Terms defined in the *Electricity Industry Act 2004* (WA), the WEM Regulations and the WEM Rules have the same meanings in this Procedure unless the context requires otherwise.
- 1.2.2. The following definitions apply in this Procedure unless the context requires otherwise.

**Table 1 Definitions**

Term	Definition
Applicant	A person that submits an application.
Change Request	An online form that can only be submitted in WEMS MPI (Registration-SCED).
Declaration of Rule Participant De-registration	A declaration form executed by an Applicant to state its intention to de-register as a Rule Participant and to confirm that it satisfies all requirements of clause 2.31.16.

Term	Definition
Declaration of Rule Participant Registration	A declaration form executed by an Applicant to state its intention to register as a Rule Participant and to confirm that it satisfies all requirements of clause 2.28.19.
De-registration	The process to cease a Rule Participant's registration in a Rule Participant class by a specified date.
Financially Responsible Market Participant	Is the "Code participant" (as defined in the <i>Electricity Industry (Metering) Code 2012</i> ) that is financially responsible to the Network Operator for the connection point.
Market Participant Standing Data Change Request	An online application form submitted into WEMS MPI (Registration-SCED) to provide Market Participant Standing Data.
Participant Information Change Request	An online application form submitted into WEMS MPI (Registration-SCED) to provide Rule Participant information.
Recipient Created Tax Invoice	Has the meaning given to the relevant term in the GST Act and is issued to a Market Participant supplier of electricity services.
RSA Token	A device which generates a random number every few minutes in order to provide a secure authentication to WEMS.
Rule Participant De-registration Change Request	An online application form submitted into WEMS MPI (Registration-SCED) to apply for Rule Participant class de-registration.
Rule Participant Registration Change Request	An online application form submitted into WEMS MPI (Registration-SCED) to apply for Rule Participant class registration.
Rule Participant Short Name	A code used in WEMS to represent and identify a person applying for registration or already registered as a Rule Participant.
Trust Deed	Is the trust deed of a trust, which is submitted to AEMO as an annexure to the Trustee Deed Poll for the purposes of an application for registration as a Rule Participant in the Wholesale Electricity Market, and includes any amendments or replacements of that trust deed.
Trustee Deed Poll	A deed executed by a trustee on behalf of trust, relating to an application for registration in the Wholesale Electricity Market, which gives assurances that the trustee is capable of meeting its obligations under the WEM Rules.
WEM Intermediary Arrangement Form	A document that provides authorisation from a person who has an obligation to register as a Rule Participant under the WEM Rules to a third party who is applying to register in the WEM and intends to act on that person's behalf.
WEMS Access Form	A document a person submits to AEMO to gain access to the WEMS MPI (Registration-SCED).
WEMS MPI (Registration-SCED)	AEMO's registration system interface which supports the registration function of the Wholesale Electricity Market under the Post-Amended Rules.
WEMS MPI User Guide	A user guide that outlines how to use the WEMS MPI.
WEMS Registration Technical Guide	A document that provides information on the formats for registration data required by AEMO.
Wholesale Electricity Market System or WEMS	An interface software system that AEMO uses to administer and operate the Wholesale Electricity Market.

## 1.3. Interpretation

- 1.3.1. The following principles of interpretation apply in this Procedure unless the context requires otherwise.
- (a) Clauses 1.3 to 1.5 of the WEM Rules apply in this Procedure.
  - (b) References to time are references to Australian Western Standard Time.
  - (c) Terms that are capitalised, but not defined in this Procedure, have the meaning given in the WEM Rules.
  - (d) A reference to the WEM Rules or WEM Procedures includes any associated forms required or contemplated by the WEM Rules or WEM Procedures.
  - (e) Words expressed in the singular include the plural and vice versa.
  - (f) A reference to a paragraph refers to a paragraph of this Procedure.
  - (g) A reference to an appendix refers to an appendix of this Procedure.
  - (h) A reference to a clause refers to a clause or section of the WEM Rules.
  - (i) References to WEM Rules in this Procedure in bold and square brackets [Clause XXX] are included for convenience only, and do not form part of this Procedure.
  - (j) Text located in boxes and headed as E[X] in this Procedure is included by way of explanation only and does not form part of this Procedure. The Procedure prevails to the extent of any inconsistency with the explanatory notes contained within it.
  - (k) The body of this Procedure prevails to the extent of any inconsistency with the figures, diagrams, appendices, schedules, annexures or attachments contained within this document.

## 1.4. Related documents

- 1.4.1. The documents in Table 2 are associated with this Procedure.

**Table 2** Related documents

Reference	Title	Location
Form	Market Participant Standing Data Change Request	WEMS MPI (Registration-SCED)
Form	Market Participant Administrator Nomination form	WEM Website
Form	Participant Information Change Request	WEMS MPI (Registration-SCED)
Form	Trustee Deed Poll	WEM Website
Form	WEM Intermediary Arrangement Form	WEM Website
WEM Procedure	WEM Procedure: Facility Registration Processes	WEM Website
WEM Procedure	WEM Procedure: Indicative Facility Class and RCM Facility Class Assessment	WEM Website

Reference	Title	Location
WEM Procedure	WEM Procedure: Transitional Registration Processes	WEM Website
WEM Rules	WEM Rules	EPWA Website
Form	WEMS Access form	WEM Website
Guide	WEMS MPI User Guide	WEM Website
Guide	WEMS Registration Technical Guide	WEM Website
Form	Rule Participant De-registration Change Request	WEMS MPI (Registration-SCED)
Form	Rule Participant Registration Change Request	WEMS MPI (Registration-SCED)

## 1.5. Overview of WEM registration process

- 1.5.1. All processes for applications outlined in this Procedure, including registration, de-registration, exemption from registration and revocation of exemption from registration, by Rule Participants and persons seeking to be Rule Participants apply in addition to the processes required under clauses 2.31.2 to 2.31.4A.
- 1.5.2. Below in Table 3 is a summary of the processes that apply in relation to applications in this Procedure. Further detail is provided in paragraphs 2 to 4.
- 1.5.3. In this Procedure, where AEMO is required to provide a notification via the Wholesale Electricity Market System (WEMS), if this system is unavailable for any reason, AEMO may provide the notification via email.

## 1.6. Communications and provision of information

- 1.6.1. All communication and provision of information by a Market Participant or Network Operator to AEMO under this Procedure must be conducted via email, unless otherwise specified in this Procedure.
- 1.6.2. All communication and provision of information by AEMO to a Market Participant or Network Operator under this Procedure will be conducted via email, unless otherwise specified in this Procedure.

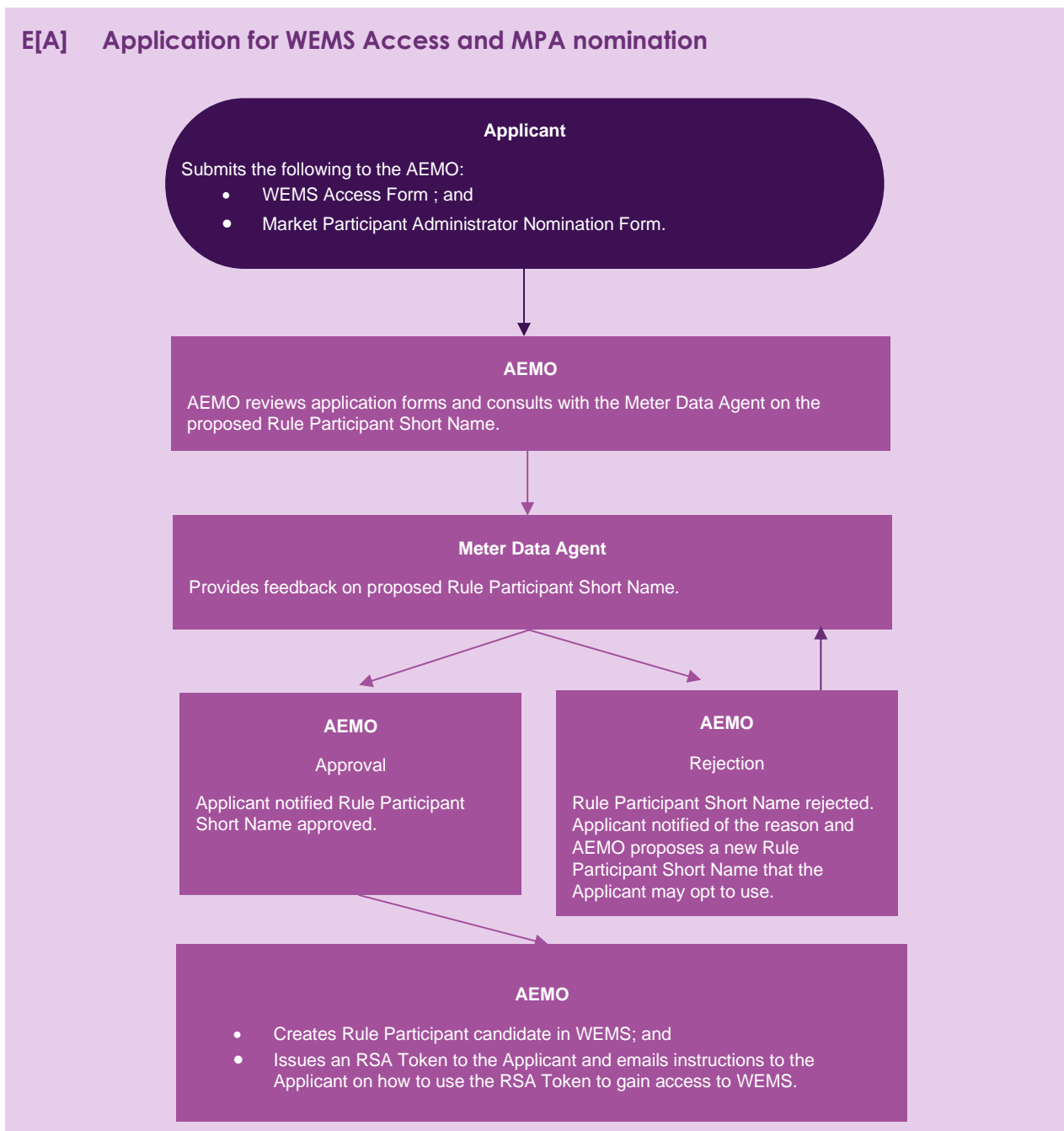
**Table 3 Registration Process Steps Overview**

Process Stage	Description
<b>Complete and Submit application</b>	<p>Prior to submitting an application, the Applicant must ensure all information required by the application is accurate and complete.</p> <p>A person must submit an online application via WEMS MPI (Registration-SCED), with some components, comprising supporting documents, being required to be submitted in paper form by email, as prescribed by this Procedure.</p> <p>If a component of the application is to be submitted online via WEMS MPI (Registration-SCED), the Applicant must complete a Change Request in WEMS Market Participant Interface (WEMS MPI). The WEMS MPI User Guide and WEMS Registration Technical Guide published on the WEM Website outlines how an Applicant can submit data and what they must submit.</p> <p>If a component of the application is required to be submitted in paper form, the Applicant must complete the form or include the document and email a signed copy of the Change Request, with all required attachments, to <a href="mailto:wa.operations@aemo.com.au">wa.operations@aemo.com.au</a>.</p>
<b>AEMO Review</b>	<p>AEMO will send an acknowledgement of receipt of the application via WEMS or email the Applicant, based on the method of submission of the application.</p> <p>On receipt of an application, AEMO will determine, at its discretion, whether all information required for the application has been provided.</p> <p>If AEMO determines that information is missing or is inadequately specified in the application form or supporting documents, it will email the Applicant and provide details of all outstanding information required to progress its application.</p>
<b>Fee Payment</b>	<p>After receipt of an application, AEMO must send a tax invoice for the payment of any applicable <a href="#">Application Fees</a> to the Applicant. Payment of the Application Fees must be by electronic funds transfer to the bank account nominated on the tax invoice. Application Fees are non-refundable, regardless of the outcome of the application, due to the cost of assessing and managing an application.</p>
<b>AEMO Assessment and Decision</b>	<p>AEMO will assess the information in the application and make a decision to accept or reject the application. In making its decision, AEMO will take into account any applicable WEM Rule requirements.</p> <p>AEMO may also request additional information from the Applicant via email to assist in its decision.</p>



## 2. WEMS Access & Rule Participant Registration

### 2.1. Application for WEMS Access and MPA nomination



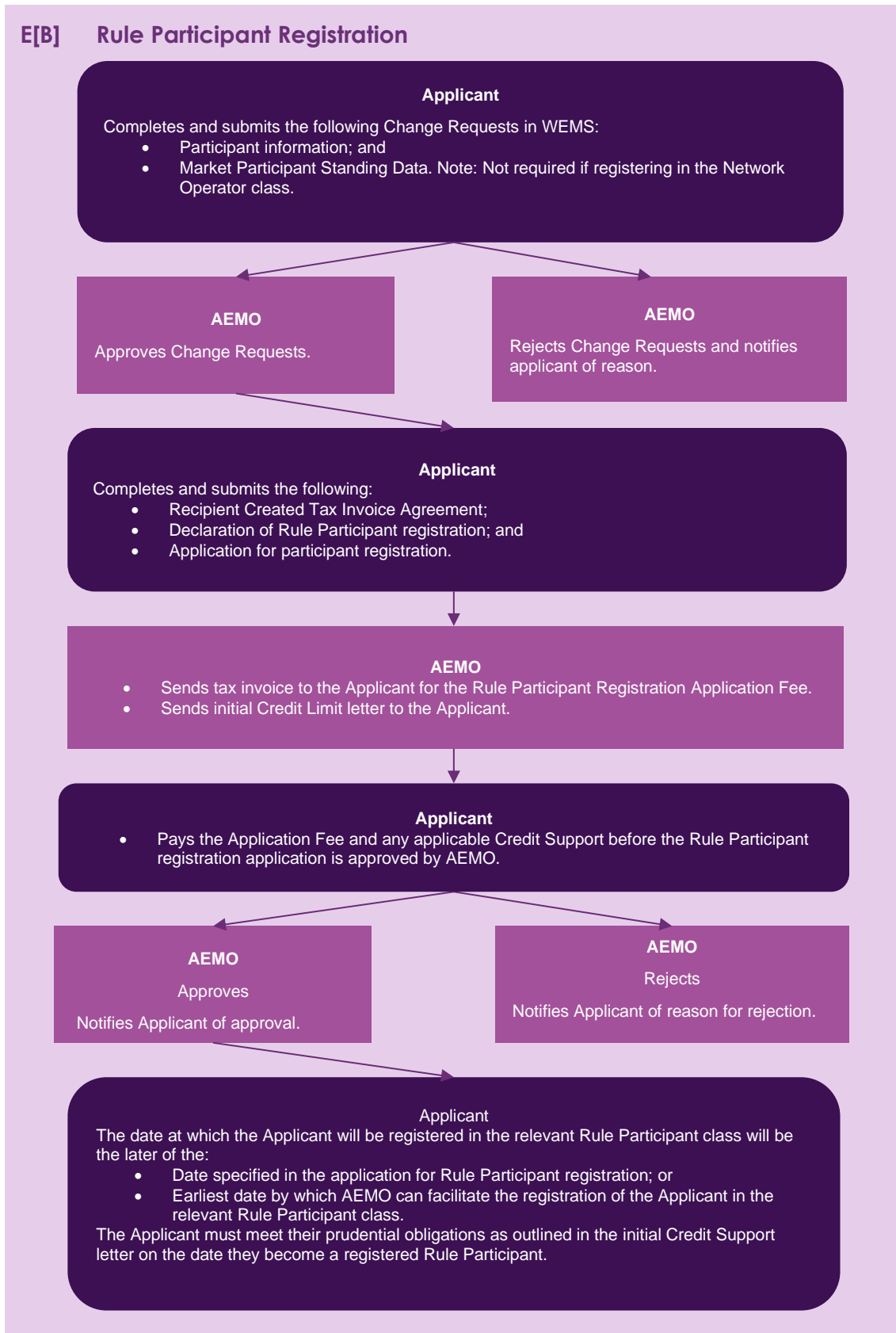
2.1.1. An Applicant who intends to register in a Rule Participant class for the first time must apply for WEMS access prior to applying for registration.

2.1.2. An Applicant intending to apply for WEMS access under paragraph 2.1.1 must complete the following forms and submit them via email to [wa.operations@aemo.com.au](mailto:wa.operations@aemo.com.au):

- (a) WEMS Access form; and
- (b) Market Participant Administrator (MPA) Nomination form.

- 2.1.3. AEMO will acknowledge receipt of forms submitted under paragraph 2.1.2 to the Applicant in one Business Day in accordance with the timeframes specified in clause 2.31.3.
- 2.1.4. AEMO will review the information provided in the application submitted under paragraph 2.1.2.
- 2.1.5. AEMO will determine whether to accept or reject the application and notify an applicant accordingly within 20 Business Days after the date of notification of receipt [**Clause 2.31.10(b)**].
- 2.1.6. AEMO will email the Meter Data Agent to consult on the suitability of the proposed Rule Participant Short Name provided in the WEMS Access Form, as soon as practicable after receiving the application.
- 2.1.7. A Metering Data Agent must within five Business Days from the day of being notified by AEMO in accordance with clause 8.3.2 confirm the Meter Registry information [**Clause 8.3.3**].
- 2.1.8. The confirmation provided under paragraph 2.1.7 must be provided to AEMO and include the Metering Data Agent's acceptance or rejection of the proposed Rule Participant Short Name.
- 2.1.9. If the Meter Data Agent or AEMO rejects the Rule Participant Short Name under paragraph 2.1.8, AEMO will notify the Applicant as soon as practicable and, in consultation with the Meter Data Agent, propose a new Rule Participant Short Name to the Applicant.
- 2.1.10. If AEMO proposes a new Rule Participant Short Name under paragraph 2.1.9, the Applicant must accept the proposed Rule Participant Short Name or suggest a different Rule Participant Short Name via email within two Business Days for consideration by AEMO and the Meter Data Agent.
- 2.1.11. If the Applicant proposes a different Rule Participant Short Name under paragraph 2.1.10, AEMO will notify the Meter Data Agent regarding the latest proposed Rule Participant Short Name as soon as practicable and seek acceptance or rejection of the Rule Participant Short Name.
- 2.1.12. In accordance with paragraph 2.1.7, the Meter Data Agent must notify AEMO of its acceptance or rejection of the Rule Participant Short Name proposed under paragraph 2.1.11.
- 2.1.13. If the Meter Data Agent accepts the proposed Rule Participant Short Name under paragraph 2.1.8 or 2.1.12, then AEMO will:
  - (a) create a candidate Rule Participant record in WEMS MPI (Registration-SCED) with the proposed Rule Participant Short Name as soon as practicable; and
  - (b) notify the Applicant it has approved the WEMS Access form.
- 2.1.14. AEMO will issue an RSA Token to the Applicant and provide instructions on how to use this RSA Token to gain access to WEMS as soon as practicable after the Rule Participant Short Name has been accepted.

## 2.2. Rule Participant Registration



- 2.2.1. Once an Applicant has successfully gained access to WEMS MPI (Registration-SCED) it must complete and submit the following applicable Change Requests via WEMS MPI (Registration-SCED):
- (a) Participant Information Change Request (applies to Market Participant class and Network Operator class); and
  - (b) Market Participant Standing Data Change Request (applies only to Market Participant Class).
- 2.2.2. An Applicant must also complete and/or provide the following documents to [wa.operations@aemo.com.au](mailto:wa.operations@aemo.com.au), where relevant:
- (a) a Trustee Deed Poll and the Trust Deed, if an application is made on behalf of a trust;
  - (b) a partnership agreement, if an application is made on behalf of a partnership;
  - (c) a WEM Intermediary Arrangement Form, if an application is made on behalf of another person who has an obligation to register as a Rule Participant under the WEM Rules.
- 2.2.3. AEMO must notify an applicant of receipt of each of the applications submitted under paragraph 2.2.1 and 2.2.2, within one Business Day of receipt of an application in accordance with clause 2.31.3 or clause 2.34.5 where the application is to change Standing Data.
- 2.2.4. AEMO will review the information provided in the applications submitted under paragraph 2.2.1 and 2.2.2 and will accept or reject each of the applications.
- 2.2.5. If a Participant Information Change Request is rejected, AEMO must notify the Applicant in the timeframe stated under clause 2.31.10(b) and provide the reason for rejection in accordance with clause 2.31.12.
- 2.2.6. If a Market Participant Standing Data Change Request is rejected, AEMO must notify the Applicant in the timeframe stated under clause 2.34.8. and provide the reason for rejection in accordance with clause 2.34.9.
- 2.2.7. If the Participant Information Change Request and Market Participant Standing Data Change Request has been accepted, the Applicant may register to become a Rule Participant by submitting the following forms to AEMO. These forms are available on the WEM Website and in WEMS MPI (Registration-SCED):
- (a) Recipient Created Tax Invoice agreement must be emailed to [wa.operations@aemo.com.au](mailto:wa.operations@aemo.com.au);
  - (b) Declaration of Rule Participant Registration must be emailed to [wa.operations@aemo.com.au](mailto:wa.operations@aemo.com.au); and
  - (c) A Rule Participant Registration Change Request must be submitted via WEMS MPI (Registration-SCED).

- 2.2.8. AEMO will notify the Applicant of the receipt of the application within one Business Day of receipt of an application form described in clause 2.31.1 **[Clause 2.31.3]**. Therefore, AEMO will confirm receipt of any applications submitted under paragraph 2.2.7, within one Business Day.
- 2.2.9. AEMO must determine whether to accept or reject the application (made under paragraph 2.2.7) and notify an Applicant accordingly within 20 Business Days after the date of notification of receipt for all other applications **[Clause 2.31.10(b)]**.
- 2.2.10. Where AEMO has rejected the application it will provide notification and include the reason for its rejection of the application **[Clause 2.31.12]**. Clause 2.31.13 outlines the valid reasons for AEMO to reject an application.
- 2.2.11. AEMO must determine a Credit Limit for each Rule Participant in accordance with clause 2.37.4 **[Clause 2.37.1]**.
- 2.2.12. AEMO must notify each Rule Participant of its Credit Limit, including any revised Credit Limit under clause 2.37.2. AEMO must provide details of the basis for the determination of the Credit Limit (with references to the factors specified in clause 2.37.5 and the WEM Procedure referred to in clause 2.43.1) **[Clause 2.31.7]**.
- 2.2.13. AEMO will notify the Applicant of its Credit Limit determined under paragraph 2.2.11 in accordance with paragraph 2.2.12 as soon as practicable after confirming receipt of the Declaration of Rule Participant Registration under paragraph 2.2.8.
- 2.2.14. The Applicant must provide AEMO Credit Support in an amount not less than the Credit Limit determined under paragraph 2.2.11.
- 2.2.15. AEMO will send a tax invoice for the Rule Participant registration Application Fee to the Applicant as soon as practicable after confirming receipt of the Rule Participant Registration Change Request submitted under paragraph 2.2.7.
- 2.2.16. The Applicant or Rule Participant (as relevant) must ensure that the information provided to AEMO in the Rule Participant Registration Change Request remains accurate and, where the information is no longer current, it must submit updated information in the applicable forms, as follows:
- (a) Participant Information Change Request or Market Participant Standing Data Change Request via WEMS MPI (Registration-SCED); or
  - (b) Trustee Deed Poll and Trust Deed, partnership agreement or WEM Intermediary Arrangement Form to [wa.operations@aemo.com.au](mailto:wa.operations@aemo.com.au).

## 3. Rule Participant De-Registration

### 3.1. Rule Participant De-registration

- 3.1.1. An Applicant may apply to AEMO for de-registration from a Rule Participant class by completing and submitting the following components of the de-registration application:
- (a) a Rule Participant De-registration Change Request via WEMS MPI (Registration-SCED); and
  - (b) a Declaration of Rule Participant De-registration, available from WEM Website, via email to [wa.operations@aemo.com.au](mailto:wa.operations@aemo.com.au).
- 3.1.2. AEMO will notify an Applicant of the receipt of a Rule Participant De-registration Change Request and Declaration of Rule Participant De-registration within one Business Day of receipt of the application in accordance with clause 2.31.3.
- 3.1.3. AEMO will determine whether to accept or reject the application (provided under paragraph 3.1.1) and notify an Applicant accordingly within 20 Business Days after the date of notification of receipt **[Clause 2.31.10(b)]**.
- 3.1.4. Where AEMO has rejected the application (provided under paragraph 3.1.1), it will provide notification (under paragraph 3.1.3) and include the reason for its rejection of the application **[Clause 2.31.12]**.

### 3.2. AEMO De-registers a Rule Participant

- 3.2.1. If AEMO issues a Registration Correction Notice to a Rule Participant, it will issue it in the form of a letter via email containing all of the information required by clause 2.32.7C.
- 3.2.2. The Rule Participant must respond to the Registration Correction Notice by the deadline specified in the letter, which is set under clause 2.32.7C(d), and provide all evidence or information requested in the notice to [wa.operations@aemo.com.au](mailto:wa.operations@aemo.com.au).
- 3.2.3. AEMO must consider any evidence or submissions provided by a Rule Participant in response to a Registration Correction Notice and determine whether:
- (a) it is satisfied that the Rule Participant meets all of the criteria specified in clause 2.28.19. If so, AEMO will notify the Rule Participant that no further action will be taken; or
  - (b) it is not satisfied that the Rule Participant meets all of the criteria specified in clause 2.28.19. If so, AEMO will issue a De-registration Notice notifying the Rule Participant that it will cease to be registered from the date and time specified in the De-registration Notice and the Rule Participant will cease to be registered with effect from that date and time **[Clause 2.32.7E]**.

## 4. Exemption

### 4.1. Exemption from Registering as a Rule Participant

- 4.1.1. For AEMO to grant an exemption in accordance with clause 2.28.16, 2.28.16B or 2.28.16C in respect of Rule Participant registration, an Applicant must make a written application via a letter emailed to [wa.operations@aemo.com.au](mailto:wa.operations@aemo.com.au). The letter must specify that the Applicant is applying to AEMO to be exempted from Rule Participant registration and must include, where relevant, the following supporting information:
- (a) If the Applicant is applying for exemption from Rule Participant registration it must provide evidence to AEMO's satisfaction that confirms the identity of the Financially Responsible Market Participant for the connection points that are owned, operated or controlled by the Applicant.
  - (b) If the Applicant is applying for exemption from Rule Participant registration under clause 2.28.16B, it must complete and submit the 'Application for Exemption to Register as a Rule Participant' form, available on the WEM Website.
  - (c) If the Applicant is applying for exemption from Rule Participant registration under clause 2.28.16C, the Applicant must provide information that can be used to support AEMO's assessment that it satisfies the requirements of clause 2.30B.8B.
  - (d) If the Applicant is applying for exemption in accordance with clause 2.28.16 from the requirements under clause 2.28.2, 2.28.6, 2.28.7, 2.28.10 or 2.28.13 to register as a Rule Participant, or for other reasons besides those outlined in the WEM Rules, the Applicant must outline the reasons why it should be granted exemption.
- 4.1.2. AEMO will confirm receipt of an application for exemption from registration as a Rule Participant submitted under paragraph 4.1.1 within one Business Day.
- 4.1.3. After it has confirmed receipt under paragraph 4.1.2, AEMO will review the information provided in the application for exemption from registration as a Rule Participant by the Applicant.
- 4.1.4. (Based on its review under paragraph 4.1.3) AEMO must determine whether to accept or reject the application and notify an Applicant accordingly within 20 Business Days after the date of notification of receipt (under paragraph 4.1.2) **[Clause 2.31.10(b)]**.
- 4.1.5. Subject to clause 2.30C.1, AEMO may, at its discretion, require that an Applicant provide information that is missing from the relevant application form (i.e. the application for exemption), or is inadequately specified **[Clause 2.31.4]**.
- 4.1.6. If AEMO requests information from an Applicant under clause 2.31.4 (paragraph 4.1.5) and the Applicant does not provide the information to AEMO within 20 Business Days of the date of AEMO's request, the Applicant will be deemed to have withdrawn the application **[Clause 2.31.4A]**.
- 4.1.7. The criteria AEMO will use to determine whether to grant an exemption under clause 2.28.16, 2.28.16B or 2.28.16C from the Rule Participant registration requirements is:
- (a) whether AEMO determines that it will likely need to constrain or otherwise direct the Applicant's Facility (or Facilities) in order to manage a Constraint and maintain Power

System Security or Power System Reliability in the SWIS, taking into account likely operating conditions and Credible Contingency Events; and

- (b) where applying for exemption from Rule Participant registration, the Applicant meets the applicable requirements in clauses 2.28.16A, 2.28.16B or 2.28.16C.

4.1.8. Where AEMO has rejected the application (made under clause 2.28.16, 2.28.16B or 2.28.16C) it must provide notification (under paragraph 4.1.4) and include the reason for its rejection of the application **[Clause 2.31.12]**.

4.1.9. If AEMO approves an application for exemption under clause 2.28.16, 2.28.16B or 2.28.16C, AEMO will notify the Applicant and specify any applicable conditions for the exemption.

4.1.10. AEMO may update any applicable conditions for the exemption, but will:

- (a) provide 40 Business Days' notice prior to the condition applying; and
- (b) notify the Applicant by letter via email.

4.1.11. An Applicant must advise AEMO, in a letter via email, if it becomes aware it no longer satisfies the conditions stipulated in clause 2.28.16B, or if it no longer meets any of the conditions notified by AEMO in accordance with paragraph 4.1.9.



## 4.2. Revocation of Exemption from Registering as a Rule Participant

- 4.2.1. AEMO may revoke an exemption granted under clause 2.28.9C, 2.28.16, 2.28.16B or 2.30B.8C by providing a minimum period of 40 Business Days' notice to the relevant exempted person.
- 4.2.2. AEMO will provide a notification of revocation under paragraph 4.2.1 in a letter sent via email, including the reason for revocation and the date and time at which the revocation will become effective.
- 4.2.3. The criteria AEMO will use to determine whether to revoke an exemption from Rule Participant registration requirements are:
- (a) in relation to a revocation under clause 2.28.9C, if AEMO considers that a previously exempted Facility owned, controlled or operated by the relevant person needs to be registered for AEMO to be able to constrain the Facility to manage a Constraint and maintain Power System Security or Power System Reliability in the SWIS.
  - (b) in relation to clause 2.28.16:
    - (i) where AEMO considers the exempted person has ceased to satisfy the conditions notified to the person under paragraph 4.1.9; or
    - (ii) where AEMO considers that a Facility previously exempted under clause 2.28.6 or 2.28.7 that is owned, controlled or operated by the relevant person needs to be registered for AEMO to be able to manage a Constraint and maintain Power System Security or Power System Reliability in the SWIS; or
    - (iii) where a person was previously granted an exemption from Rule Participant registration under clause 2.28.16A(a) and the relevant exempted person provides notice under clause 2.28.16A(d) that it is revoking the appointment of its Intermediary; or
    - (iv) where an Applicant was previously granted an exemption from Rule Participant registration under clause 2.28.16A(a) and AEMO becomes aware that the Intermediary no longer meets all the requirements of clause 2.28.19.
  - (c) in relation to clause 2.28.16B:
    - (i) where AEMO considers the exempted person has ceased to satisfy any of the conditions in clause 2.28.16B; or
    - (ii) where AEMO considers the exempted person has ceased to satisfy the conditions notified to the person under paragraph 4.1.9.
  - (d) in relation to clause 2.28.16C, where AEMO considers the requirements in clause 2.30B.8B are no longer met.
- 4.2.4. Where a person receives a notice of revocation of an exemption under paragraph 4.2.1, the person must satisfy one of the following by the date the revocation is due to occur:
- (a) be granted an exemption under clause 2.28.16 and provide a reason for why they should be exempt in accordance with paragraph 4.1; or
  - (b) be registered as a Rule Participant in accordance with paragraph 2.2.

## Appendix A. Relevant clauses of the WEM Rules

Table 4 details:

- (a) the head of power clauses in the WEM Rules under which the Procedure has been developed; and
- (b) each clause in the WEM Rules requiring an obligation, process or requirement be documented in a WEM Procedure, where the obligation, process or requirement has been documented in this Procedure.

**Table 4** Relevant clauses of the WEM Rules

Clause
2.31.25(a)
2.28.21