

## Five-minute settlement and global settlement

## September 2020

Market Readiness Report - Round 3

## Background

To monitor the industry's level of readiness for the various 5MS and GS transitional activities and commencements, AEMO will co-ordinate readiness reporting across the industry in accordance with the Readiness Reporting Plan.<sup>1</sup> NEM participants are invited to submit readiness information on a periodic basis through a Readiness Assessment Questionnaire. The results from these surveys are presented in this Market Readiness Report.

## Readiness criteria

Participants' level of readiness will be assessed through general readiness criteria, specific readiness criteria, and also their status against the Metering Transition Plan (MTP).<sup>2</sup>

General       Criteria related to the level of program establishment of participant programs.       Participants are asked to provide Yes / No responses to a set of program establishment of participant programs.       All Yes and No responses are presented in a All responses are unweighted.         Specific       Criteria that tracks the implementation of specific readiness       Participants (including AEMO) are asked to report their status towards fulfilling these requirements by market commencement requirements for each participant type. Hence, each participant type will have their own set of specific readiness criteria e.g. generators' ability to submit 5 min bids by 1       Participants are asked to provide Yes / No responses to a set of program establishment questions e.g. if funding is secured.       Participants' status and progression toward illustrated in a bar chart.         Metering       Criteria that tracks the induction of specific readiness criteria e.g. generators' ability to submit 5 min bids by 1       Participants are asked to provide their activities in the MTP. The status towards completing activities by the transition end date for each defined activities, responsibilities, sub-category in the MTP (Complete, On       All responses are unweighted.	
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activities responsibilities sub-category in the MTP (Complete On	
timeframes, as well as other track (started), On track (not started), At	
necessary elements required risk, Late, n/a).	
for completion of the 5MS	
and GS metering transition. Given that a sub-category has multiple	
activities supported by various	
participant types, respondents were only	
expected to provide status reporting for	
activities that were relevant to their	
participant type.	

## Participant industry coverage

The approach to gauging industry coverage for each readiness report is described below for each participant type.

Participant type	Industry coverage methodology	Data source	
Generator	Generator respondents' capacity / NEM capacity	AER 2019 state of the market report - generation capacity data	
Retailer	Retailer respondents' settlement vol. / NEM vol.	AEMO settlement data - 2019 calendar year	
MP MP respondents' total type 1-4 NMIs/ total type 1-4 NMIs		AEMO metering data	
MDP	MDP respondents' total type 1-4 NMIs/ total type 1-4 NMIs	AEMO metering data	
мс	MC respondents' total type 1-4 NMIs/ total type 1-4 NMIs	AEMO metering data	
DNSP	Number of DNSPs survey respondents / Total DNSPs	AER 2019 state of the market report - DNSP data	
TNSP	Number of TNSPs survey respondents / Total TNSPs	AER 2019 state of the market report - TNSP data	

<sup>&</sup>lt;sup>1</sup> Readiness documents, including the Industry Readiness Reporting Plan, and Metering Transition Plan can be accessed at: <u>https://www.aemo.com.au/initiatives/major-programs/nem-five-minute-settlement-program-and-global-settlement/readiness-workstream/key-readiness-documents</u>

## Essential industry capabilities for 5MS and GS commencements

The essential minimum capabilities for 5MS and GS commencements are described below for each relevant participant type.

Essential capability	Relevant participant	Reporting Criteria
Generators and MNSPs are able to submit 5-min offers	Generators / MNSPs	<ul> <li>a) Generators representing &gt;80% of regional load are responding to Industry Readiness Surveys, and;</li> </ul>
		<ul> <li>b) Generators representing &gt;80% of regional load are reporting 'On-track' to be able to submit</li> <li>5-minute granularity offers</li> </ul>
All essential meters* are able to produce and store 5-min data	MPs	a) 100% of MPs associated to essential metering are responding to Industry Readiness Surveys, and;
		b) 100% of MPs associated to essential metering are reporting 'On-track' for all applicable MTP activities
All essential meters* are able to produce and store 5-min data	MCs	a) 100% of MCs associated to essential metering are responding to Industry Readiness Surveys, and;
		b) 100% of MCs associated to essential metering are reporting 'On-track' for all applicable MTP activities
All essential meters* are able to deliver 5-min metering data	MDPs	a) 100% of MDPs associated to essential metering are responding to Industry Readiness Surveys, and;
		b) 100% of MDPs associated to essential metering are reporting 'On-track' for all applicable MTP activities (S01)
The 5-minute bidding and dispatch solution, including the web bidding interface is deployed	AEMO	a) AEMO reporting 'On-track' for all Bidding/Dispatch reporting criteria and milestones
The Metering Data Management (MDM) solution is deployed	AEMO	a) AEMO reporting 'On-track' for all MDM Milestones
The 5-minute settlements solution is deployed	AEMO	a) AEMO reporting 'On-track' for all Settlement Milestones

## Disclaimer

This market readiness report has been prepared by AEMO at the request of the industry for the purpose of tracking and monitoring the industry's level of readiness for 5MS and GS. This report contains self-reported readiness information provided by participants, and may not be free from errors or omissions. Accordingly, to the maximum extent permitted by law, AEMO makes no representation or warranty, express or implied, as to the currency, accuracy, reliability or completeness of the information published here; and are not liable (whether by reason of negligence or otherwise) for any statements, opinions or other matters contained in or derived from this information, or any omissions from it, or in respect of a person's use of the information.

## **Submissions**

Strong survey coverage, with 42 respondents representing 95-100% of the industry. Response rate across all particpant types provides representative industry coverage.



	Industry coverage			
% Industry coverage		Industry coverage methodology		
Generator	95.1%	Generator respondents' capacity / NEM capacity		
Retailer	97.6%	Retailer respondents' settlement vol. / NEM vol.		
MP	99.8%	MP respondents' total type 1-4 NMIs/ total type 1-4 NMIs		
MDP	99.9%	MDP respondents' total type 1-4 NMIs/ total type 1-4 NMIs		
MC	99.7%	MC respondents' total type 1-4 NMIs/ total type 1-4 NMIs		
DNSP	100%	Number of DNSP survey respondents / Total DNSPs		
TNSP 100%		Number of TNSP survey respondents / Total TNSPs		

#	Торіс	Observation / Actions
1	Response rate, All participant types	A total of 42 organisations responded to the third round of readiness reporting, representing an even mix of participant types. Response rate across all participant types provides representative industry coverage.
2	Response rate, All participant types	Coverage across the industry for all participant types ranges from 95% to 100%. This exceeds the 80% coverage threshold for reporting against essential criteria.
3	Decrease in Particpant Numbers	Although number of respondent organisations increased by 2 there was a reduction in MP, MDP and MC responses, due to 3-4 organisations responding as MP, MDP and MC in the previous round but not this round. Any impacts are expected to be identified at the specific criteria level rather than at the overall program level. <b>Action</b> : AEMO to follow up with participants that are not representing all of their organisations participant types.

## Overall program delivery progression, by reporting round

Although there has been a significant shift in progress reported between survey two and three, with more than half of respondents now focused on implementation, there are still 42 % of respondents in the scoping and design phase of their programs. All except one respondents noted that they are on track.

	Round 1	Round 2	Round 3	Round 4	Round 5
0%	0%	0%	2%		
1 - 24%	92%	70%	40%		
25 - 49%	8%	20%	38%		
50 - 74%	0%	5%	17%		
75 - 99%	0%	3%	2%		
100%	0%	0%	0%		
n/a	0%	3%	0%		
Total	100%	100%	100%		



Participants' overall program delivery progression, by participant type - Round 3



#	Торіс	Observation / Actions
4	Overall Participant Program Progress	Although there has been a significant shift in progress reported between survey two and three, with more than half of respondents now focused on implementation, there are still 42 % of respondents in the scoping and design phase of their programs.
5	Overall Participant Program Status	Participants overall are reporting that they are on track, reflecting replanning activity against the deferred rule commencement dates. One organisation flagged that their overall program is 'late', noting that they were impacted by the AEMC's deferral decision. <b>Action</b> : AEMO to follow up to understand 'late' status and establish level of remediation expected

## **AEMO Readiness Criteria**

Support 5-min reallocations Support standing data processing for GS Switch Local Retailer and FRMP to GLOPOOL 1111111111111111111 Support UFE calculation and publication Support meter data processing for GS 5 min-format invoices to support reconciliation MDM platform upgraded to support 5-min profiling MDM platform upgraded to receive 5 - minute data Changes to settlement calculation **Bidding Transition** Changes to bidding interfaces 0% 25% 50% 75% 100% Complete In progress Not started # Topic **Observation / Actions AEMO Readiness Criteria** AEMO is on track to deliver the market systems, and has completed development milestones over this 6 period. However, pressures remain on the delivery schedule, with regards to the execution of systems

## 5MS GS Essential Industry Capabilities

testing within the scheduled timeframes. Focus of the program is now on system testing and AEMO business

Essential capability	Relevant				Number of	participants		
	participants	criteria met	On-Track	At-Risk	Late	Not responded	Remediation Plan?	Escalation Required?
Generators and MNSPs are able to submit 5-min offers	Generators / MNSPs	No	11	1	2	6	No	No
All essential meters* are able to produce and store 5-min data	MPs	Yes	10	0	0	0	No	No
All essential meters* are able to produce and store 5-min data	MCs	Yes	14	0	0	0	No	No
All essential meters* are able to deliver 5-min metering data	MDPs	Yes	3	0	0	0	No	No
The 5-minute bidding and dispatch solution is deployed, including the web bidding interface	AEMO	Yes	1	0	0	0	No	No
The Metering Data Management (MDM) solution is deployed	AEMO	Yes	1	0	0	0	No	No
The 5-minute settlements solution is deployed	AEMO	Yes	1	0	0	0	No	No

\* Essential meters are defined as transmission connected types 1-4 meters

readiness.

\* Please see background section at the start of this report for more information on the reporting criteria for essential industry capabilities

#	Торіс	Observation / Actions
7		Two generators reported late, and one reported that their readiness to submit 5-min offers is at risk. Action: AEMO to establish with generators 'at risk' and 'late' if a remediation plan or escalation is required at present, given the timeframe remaining until 5 MS rule commencement.
8		Six generators that contribute materially (>1%) to NEM regions did not respond to the survey. However, generators that responded in Round 3 represent over 80% of capacity and load in each NEM region (using 2019 data), therefore satisfying the coverage criteria.
9	Essential meters, MP	<ul> <li>All MPs, MDPs, and MCs are reporting on track. However. two participants reported 'on-track' but not started. However activities in S01 and S13 should have been commenced according to the defined MTP transition windows.</li> <li>Action: AEMO to follow up with MPs that should have commenced metering transition activities to confirm overall status of program.</li> <li>Action: AEMO to cross reference participant survey responses with participant submitted roll-out plans.</li> </ul>







Participants intending to enter into agreement to deliver or receive metering data in submultiples of a trading interval, by participant type.



#	Торіс	Observation / Actions
10	COVID-19 Response, All participants	Compared to the previous round of reporting, more than half of participants are not experiencing any change in their ability to implement 5MS and GS due to COVID-19. One in three are experiencing minor changes, noting increased challenges associated with vendors working on- site, staff onboarding, and resourcing due to addtional focus on BAU activities. These finding are consistent with the results of the special readiness survey issued in August.
11	Early delivery of 5-minute data, (Retailers, DNSPs, TNSPs, MDPs)	Among retailers, network service providers and MDPs, a minority noted that they intend to enter into agreements to deliver or receive metering data in sub-multiples of a trading interval. Participants are flagging that Q1 and Q2 2021 is when they expect to initiate or enter into agreements. <b>Action</b> : AEMO to raise implications of early delivery of 5-minute data on participant programs with RWG.

# SMS GS Market Readiness Report - Round 3 Retailers Number of respondents 16 % Industry coverage 98% Overall Progress and Status Retailers have well established programs, and are mostly reporting as on track. One organisation noted that their implementation timeline is now late as they were impacted by the AEMC's decision to defer 5MS and GS.



## **Specific Readiness Criteria**

Specific criteria - Average progression	Specific criteria - Progression responses
Summary readiness - Specific	Summary readiness - 16 17 12 9 13 6 Specific
5-min reallocations	5-min reallocations 2 2 3 1 2 6
UFE allocations data	UFE allocations data 8 7 1
5-min settlements data	5-min settlements data 3 5 6 2
5-min metering data	5-min metering data 3 3 6 3 1
0% 25% 50% 75% 100%	
Complete 🛛 In progress 🗆 Not started	■ 0% ■ 1 - 24% ■ 25 - 49% ■ 50 - 74% ■ 75 - 99% ■ 100% ■ Not relevant

#	Торіс	Observation / Actions
14		Retailers are reporting a wide range of responses when reporting on progression towards developing specific capabilities for 5MS and GS. They are 1-24% progressedon average, and are generally on track. One organisation noted that their implementation timeline is now late as they were impacted by the AEMC's decision to defer 5MS and GS. <b>Action</b> : AEMO to establish with impacted particpants if a remediation plan or escalation is required.
15	• •	The average progression on 5-minute reallocations increased by one quardrant, however the average progression of other retailer specific criteria remains the same as the previous readiness survey

## 5MS GS Market Readiness Report - Round 3 Generators Number of respondents 14 % Industry coverage 95% Overall Progress and Status

Generators have well established programs, and are generally reporting as on track. Three generators reported that they were late or at risk, due to the deferral rule change and the required time to engage vendors.



### **Specific Readiness Criteria** Specific criteria - Average progression Specific criteria - Progression responses Summary readiness -13 11 4 3 4 Summary readiness - Specific Specific 5-min reallocations 5-min reallocations 5-min settlements data 5-min settlements data 5-min metering data 5-min metering data 5-min bids 5-min bids 0% 25% 50% 75% 100% 50 - 74% Complete 🖉 In progress 🛛 🗆 Not started 0% 1 - 24% 25 - 49% 100% 75 - 99% Not relevant

#	Торіс	Observation / Actions
17		Generators are reporting a wide range of responses when reporting on progression towards developing specific capabilities for 5MS and GS. They are 25-49% progressed on average, and are generally on track. Three generators reported a late or at risk status, due to the deferral rule change and schedule pressures from the time required to complete the tender process for vendors. Action: AEMO to establish if a remediation plan or escalation is required.
18	Specific criteria, Generators	The average progression on 5-minute metering data increased by one quardrant, however the average progression of other generator specific criteria remains the same.



20	Specific Criteria, TNSPS	inses are reporting a wide range of responses when reporting on progression towards
		developing specific capabilities for 5MS and GS. They are 25-49% progressed on average, and
		are all reporting on track.
21	Specific Criteria, TNSPs	The average progression on 5-minute metering data increased by one quadrant.
22		TNSPs are reporting that MTP activities are all on track. MTP transition windows have been adjusted in line with the new commencement dates. Note if a TNSP responded that a MTP sub- category is not relevant to them their response is omitted from the results.



#	Торіс	Observation / Actions
24		Eight out of 10 DNSPs were 1-24% or 25-49% progressed with their readiness for 5-min
		metering data. All DNSPs are reporting on track.
25		DNSPs are reporting that MTP activities are all on track. MTP transition windows have been adjusted in line with the new commencement dates. On track not started statuses will be monitored to confirm preparatory tasks are in train. Note if a DNSP responded that a MTP sub- category is not relevant to them their response is omitted from the results.



#	Торіс	Observation / Actions
26		There was a reduction in MP responses compared to the previous round, due to three organisations not responding to the survey, or not providing responses for their MP business in this round of reporting. Action: AEMO to follow up with participants that are not representing all of their organisations participant types.
27	General Criteria, MPs	MPs generally have well established programs and are reporting on track, with a minority reporting funding yet to be approved for the project.
28	reconfiguration), MPs	MPs are reporting that all MP related meter installation and reconfiguration activities are on track. Some MPs are reporting 'on-track' but not started, however activities in S01, S02 and S03 should have been commenced according to the defined MTP transition windows. Action: AEMO to follow up with relevant MPs regarding the status of S01, S02, S03.







#	Торіс	Observation / Actions
33	Coverage, MDPs	There was a reduction in MDP responses compared to the previous round, due to three organisations not responding to the survey, or not providing responses for their MDP business in this round of reporting. <b>Action</b> : AEMO to follow up with participants that are not representing all of their organisations participant types.
34	General Criteria, MDPs	MDPs generally have established programs and are reporting on track. One MDP noted that they are currently re-mobilising due to the AEMC's deferral decision.
35	MTP Criteria (Meter Data Delivery), MDPs	MDPs are reporting that meter data delivery activities are on track. Some MDPs are reporting 'on-track' but not started, however activities in S17, S18, S19 and S20 should have been commenced according to the defined MTP transition windows. <b>Action</b> : AEMO to follow up with relevant MDPs on the status of S17, S18, S19, S20.



## Metering Accreditation Updates



#	Торіс	Observation / Actions
		MDPs are reporting that all MDP related MSATS standing data creation and maintenance activities are on track.
	MDPs	MDPs are reporting that all MDP related metering accreditation update activities are on track. Some MDPs are reporting 'on-track' but not started, however activities in S43 and S44 should have been commenced according to the defined MTP transition windows. <b>Action</b> : AEMO to follow up with relevant MDPs on the status of S43, S44.
38		MTP transition windows have been adjusted in line with the new commencement dates. Note that if a MDP responded that a MTP sub-category is not relevant to them their response is omitted from the results. AEMO also intends to cross reference MDP survey responses with provided rollout plans.



## Survey Respondents

## All organisations - 42

All organisations - 42 Organisation
AGL
Alinta Energy
APA Group
Arrow Energy
Aurora Energy
Ausgrid
AusNet Services
Ausnet Services (GPUPNMP)
CitiPower Powercor United Energy
CS Energy
Delta Electricity
ElectraNet Pty Ltd
Endeavour Energy
Energex and Ergon Energy
EnergyAustralia
Enerven
ENGIE
Ergon Energy Retail (EEQ)
ERM Power
Essential Energy
Evoenergy
Flow Power
Hydro Tasmania
Intellihub
Jemena
Macquarie Bank Limited
Metering Dynamics
Mondo
Origin Energy
PLUS ES
Powerlink Queensland
Powermetric
Red and Lumo Energy
SA Power Networks
SA Water
Secure Meters Limited
Shell Energy Australia
Snowy Hydro
Stanwell
TasNetworks
TransGrid
Vector Metering

## Generators - 14

Organisation
AGL
Alinta Energy
APA Group
Arrow Energy
CS Energy
Delta Electricity
EnergyAustralia
ENGIE
ERM Power
Hydro Tasmania
Origin Energy
Shell Energy Australia
Snowy Hydro
Stanwell

## Retailers - 16

Organisation
AGL
Alinta Energy
Aurora Energy
CS Energy
Delta Electricity
EnergyAustralia
ENGIE
Ergon Energy Retail (EEQ)
ERM Power
Flow Power
Hydro Tasmania
Macquarie Bank Limited
Origin Energy
Red and Lumo Energy
SA Water
Stanwell

## Survey Respondents

## <u>MPs - 17</u>

Organisation
AusNet Services
Ausnet Services (GPUPNMP)
Endeavour Energy
Energex and Ergon Energy
Enerven
Essential Energy
Evoenergy
Intellihub
Jemena
Metering Dynamics
Mondo
PLUS ES
Powerlink Queensland
Powermetric
TasNetworks
TransGrid
Vector Metering

## MDPs - 14

Organisation
AusNet Services
Endeavour Energy
Energex and Ergon Energy
Essential Energy
Evoenergy
Intellihub
Jemena
Metering Dynamics
Mondo
PLUS ES
Powermetric
Secure Meters Limited
TasNetworks
Vector Metering

## <u>MCs - 14</u>

Organisation
Ausgrid
AusNet Services
ElectraNet Pty Ltd
Evoenergy
Jemena
Metering Dynamics
Mondo
Origin Energy
PLUS ES
Powerlink Queensland
Powermetric
TasNetworks
TransGrid
Vector Metering

## <u> TNSPs - 7</u>

Organisation
Ausgrid
Ausnet Services
Electranet
Evoenergy
Powerlink
TasNetworks
Transgrid

## **DNSPs - 10**

Organisation
Ausgrid
Ausnet Services
CitiPower Powercor United Energy
Endeavour Energy
Energex and Ergon Energy
Essential Energy
Evoenergy
Jemena
SA Power Networks
TasNetworks